

Siebert AdvisorNXT, LLC. Privacy Policy

Siebert AdvisorNXT, LLC. ("we" or "us") is a nonaffiliated third-party. We recognize the importance of protecting your personal information and take the responsibility of handling both your trust and personal information extremely seriously. As a regulated financial institution, we are required to obtain certain personal information from our customers. The following Privacy Policy demonstrates our commitment to the privacy of your personal information.

Siebert AdvisorNXT, LLC. ("we" or "us") collects, uses, and discloses personal information to assist in properly administering our business and servicing your account, and to continuously improve our services to you.

Siebert AdvisorNXT, LLC. ("we" or "us") collects nonpublic personal information about you from the sources described below. We may use this information to open an account, communicate with you about your account and our products and services, send you information that we believe would be of interest to you, and offer you subscription services.

Information gathered from your applications, other forms or requests for more information;

Information from your transactions with us, our affiliates or others;

Information from consumer reporting agencies to verify your identity or determine your creditworthiness; and

Information from other sources with your consent, such as from other institutions if you transfer positions to Muriel Siebert & Co., LLC ("Siebert") and from information we receive from you for subscription or sweepstake registrations.

Siebert AdvisorNXT, LLC. ("we" or "us") is required to maintain accurate and complete information about your account. If your financial status, investment objectives, employment information or lifestyle (e.g. marriage, divorce, birth, a adoption, death) has recently changed, please contact Customer Service at info@advisorxt.com with your account information.

If you prefer not to receive Siebert AdvisorNXT, LLC. ("we" or "us") product and service updates or information that we believe would be of interest to you, please contact Customer Service at (855)299-1980, 8:00 a.m. - 6:00 p.m. ET, Monday-Friday.

We do not sell customer information, whether you are a current Siebert AdvisorNXT, LLC. ("we" or "us") customer or former customer.

We will share your information with a reasonable opportunity to "opt out", that is, to direct us not to share your nonpublic personal information with non-affiliated third parties in certain circumstances. If, in the future, we were to seek to disclose your information in a way that is inconsistent with this policy, we will notify you in advance and provide you with a reasonable opportunity to opt out of our sharing your information in this manner.

We may disclose nonpublic personal information from customers and former customers on a strictly limited, confidential basis to

nonaffiliated third-parties that perform account maintenance and processing services for us. These include, for example, asset manager, our clearing firm, bank custodians, and fulfillment companies. We may also disclose nonpublic personal information from customers and former customers to nonaffiliated third-parties as permitted or required by law or as authorized by you. These parties include government, regulatory and self-regulatory organizations, including but not limited to, the Securities and Exchange Commission ("SEC"), Investment Company Institute ("ICI"),